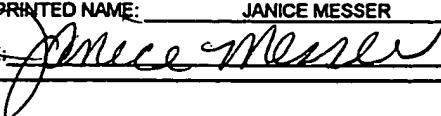


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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK | | ATTORNEYS DOCKET NO. 2186PB-1 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 35 CFR 1.5) 09/509738 |
| INTERNATIONAL APPLICATION NO. PCT/GB98/02937 | INTERNATIONAL FILING DATE 30 September 1998 | PRIORITY DATE CLAIMED 30 September 1997 |
| TITLE OF INVENTION "PROTEIN INVOLVED IN ABSCISIC ACID SIGNALLING" | | |
| APPLICANT(S) FOR DO/EO/US BLATT, Michael and LEYMAN, Barbara | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11. To 16. below concern documents or information included: | | |
| <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.23 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: | | |
| <small>"EXPRESS MAIL" MAILING LABEL NUMBER: EL417666902 DATE OF DEPOSIT: March 25, 2000</small> | | |
| <small>I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, BOX PCT, WASHINGTON, D.C. 20231.</small> | | |
| <small>TYPED OR PRINTED NAME: <u>JANICE MESSER</u></small> | | |
| <small>SIGNATURE: </small> | | |

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|--|---|---|---|----------|--|----------|---|----------|---|----------|---|---------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.492(e)) 09/509738 | INTERNATIONAL APPLICATION NO. PCT/GB98/02937 | ATTORNEY DOCKET NUMBER 2186PB-1 | | | | | | | | | | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: | | CALCULATIONS PTO USE ONLY | | | | | | | | | | |
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): | | | | | | | | | | | | |
| <table> <tr> <td>Search Report has been prepared by the EPO or JPO</td> <td>\$840.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482)</td> <td>\$670.00</td> </tr> <tr> <td>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))</td> <td>\$760.00</td> </tr> <tr> <td>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid USPTO</td> <td>\$970.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)</td> <td>\$96.00</td> </tr> </table> | | | Search Report has been prepared by the EPO or JPO | \$840.00 | International preliminary examination fee paid to USPTO (37 CFR 1.482) | \$670.00 | No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) | \$760.00 | Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid USPTO | \$970.00 | International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) | \$96.00 |
| Search Report has been prepared by the EPO or JPO | \$840.00 | | | | | | | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) | \$670.00 | | | | | | | | | | | |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) | \$760.00 | | | | | | | | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid USPTO | \$970.00 | | | | | | | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) | \$96.00 | | | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 970.00 | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | | | | | | | | | | |
| Total Claims | 56 - 20 = | 36 | | | | | | | | | | |
| Independent Claims | 6 - 3 = | 3 | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) | | + \$260.00 | | | | | | | | | | |
| | | TOTAL OF ABOVE CALCULATIONS = \$ 1,852.00 | | | | | | | | | | |
| Reduction of $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). | | | | | | | | | | | | |
| SUBTOTAL = \$ | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = \$ | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = \$ 1,852.00 | | | | | | | | | | | | |
| | | Amount to be: refunded | | | | | | | | | | |
| | | charged | | | | | | | | | | |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 1,852.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed.</p> | | | | | | | | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>SHERIDAN ROSS P.C. 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141 Telephone: (303) 863-9700 Facsimile: (303) 863-0223</p> <p style="text-align: right;"><i>Joseph E. Kovarik</i> Signature Registration No. 33,005</p> | | | | | | | | | | | | |